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6 VIOLETTA ETTARE

7   UNITED STATES DISTRICT COURT
8   NORTHERN DISTRICT OF CALIFORNIA
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10 VIOLETTA ETTARE,   CASE NO.: 5:07-CV-04429-PVT
                                                  (Unlimited Civil)
11   Plaintiff,
                                                  **PLAINTIFF'S CERTIFICATION AS TO
12   v.   INTERESTED PARTIES (LOCAL RULE 3-
                                                  16)**
13 JOSEPH E. BARATTA, an individual,
TBIG FINANCIAL SERVICES, INC.,
14 form of business unknown,
WACHOVIA SECURITIES, LLC, a
15 Delaware Limited Liability Company,
MARK WIELAND, an individual, and
16 DOES 1-25,
17   Defendants.
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1   Through her undersigned counsel, plaintiff VIOLETTA ETTARE, pursuant to Local Rule

2 3-16, certifies that the following persons, firms, partnerships, corporations and other entities have

3 (i) a financial interest in the subject matter in controversy or in a party to the proceeding or (ii) have

4 a non-financial interest in the subject matter or in a party that could be substantially affected by the

5 outcome of this proceeding:

6   1.   Violetta Ettare—Plaintiff;

7   2.   Joseph E. Barrata—Defendant;

8   3.   TBIG Financial Services Inc.—Defendant

9   4.   Wachovia Securities LLC ("Wachovia Securities") —Defendant

10   5.   Wachovia Securities Financial Holdings LLC ("WSFH") —100 percent owner of

11 defendant Wachovia Securities;

12   6.   Prudential Securities Group, Inc. ("Prudential Securities") —38 percent owner of

13 WSFH.

14   7.   Wachovia Securities Holdings LLC ("WSH") —62 percent owner of WSFH.

15   8.   Everen Capital Corporation —100 percent owner of WSH.

16   9.   Mark Wieland —Defendant.

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18 Date: March 12, 2008   COOKE KOBRICK & WU LLP

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20   By /s/ _____
                                              CHRISTOPHER COOKE
                                              Attorneys for Plaintiff
21   VIOLETTA ETTARE

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CERTIFICATE OF INTERESTED PARTIES/CASE NO. 5:07-CV-04429-PVT